

National Core Indicators® 2018-19 In-Person Survey (IPS) Report

Appendix B: State Sample Frames



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Sampling

Each state is instructed to attempt to complete a minimum of 400 surveys with a random sample of individuals over the age of 18 who are receiving at least one publicly funded service besides case management. A sample size of 400 guarantees valid comparisons to be made across states with a 95% confidence level and a margin of error of +/- 5%, no matter how large the service population size. A 95% confidence level and a margin of error of +/-5% is mandatory for each state's sample to be included in this report. Most states sample more than 400 individuals to account for refusals and surveys that may be deemed invalid.

Below are includes detailed sample information regarding populations states included and excluded from their sample.

Populations included in 2018-19 NCI In Person Survey State Sample Frames

Alabama (AL)

Total in sample frame: 5,222

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
ID Waiver	HCBS 1915 (C)	4,834
Living at Home Waiver	HCBS 1915 (c)	388

Arkansas (AR)

Total in sample frame: 4,000

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Home & Community-Based Services	HCBS 1915 (c)	4,000

Arizona (AZ)

Total in sample frame: 14,783

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
HCBS 1115 Waiver, State Funded only (grandfathered members)	HCBS 1115 Waiver	14,783

Colorado (CO)

Total in sample frame: 10,220

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
HCBS-DD	1915 (c)	5,509
HCBS-SLS	1915 (c)	4,711

Connecticut (CT)

Total in sample frame: 10,903

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Comprehensive Waiver	1915(c)	5,136
Individual and Family Supports Waiver	1915(c)	3,412
Employment and Day Supports Waiver	1915(c)	1,126
ICF/ID Participants	ICF/ID	356
Non-Waivered	State funded	873

Delaware (DE)

Total in sample frame: 2,419

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Community Services Residential Habilitation- DDSS case manager Receiving Support Coordinators	HCBS 1915 (c) and State Funds	1,146
Adults age 18 and older who Live at home Stay at home who receive community navigator supports or targeted case management.	HCBS 1915 (c) and State Funds	1,273

Florida (FL)

Total in sample frame: 32,782

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
iBudget Waiver	HCBS 1915 (c)	32,782

Georgia (GA)

Total in sample frame: 12,000

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
New Options Waiver (NOW)	1915c	3,800
Comprehensive Supports Waiver	1915c	6,600
State funded services	State funds	1,600

Hawaii (HI)

Total in sample frame: 2,855

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Medicaid I/DD Waiver	1915(c)	2,855

Indiana (IN)

Total in sample frame: 18,603

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Community Integration and Habilitation Waiver	HCBS 1915 9(c)	9,034
Family Supports Waiver	HCBS 1915 9(c)	9,569

Kansas (KS)

Total in sample frame: 8,200

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
ID/DD	HCBS 1915(c)	8,200

Kentucky (KY)

Total in sample frame: 10,103

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
SCL Supports for Community Living	1915(c)	4,363
Michelle P Waiver	1915(c)	5,167
State General Funds	1915(i)	204
ICF/IID Intermediate Care Facilities for Individuals with Intellectual Disabilities	ICF/IID	369

Maine (ME)

Total in sample frame: 6,426

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
<ul style="list-style-type: none"> • Case Management (Medicaid) • Section 21, Home and Community Benefit for members with Intellectual Disabilities or Autism Spectrum Disorder • Section 29, Home and Community-Based waiver program is for adults who live with their families or on their own. 	Medicaid State Plan	6,426

Michigan (MI)

Total in sample frame: 26,657

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
[no name provided]	HCBS 1915 (c), 1915 (b)(3)	26,657

Minnesota (MN)

Total in sample frame: 23,591

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Various Home Care Services Only	State plan	3,194
HCBS Waivers: DD, BI, CAC, CADI	HCBS 1915 (c)	20,241
Support Grants	State funding	660
ICF/DD	ICF/DD	1,511

Missouri (MO)

Total in sample frame: 16,066

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Entire DD population that fits criteria	HCBS1915c, state plan, general revenue	16,066

North Carolina (NC)

Total in sample frame: Estimated 16,128

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
All Medicaid and state-funded I/DD services	ICF/IID, HCBS waivers, Medicaid State Plan, state funded services	Estimated 16,128 adults

Nebraska (NE)

Total in sample frame: 4,500

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Comprehensive Waiver	1915(c)	4,000
Adult Day Waiver	1915(c)	600
ICF/IDs	ICF/ID	309

New Hampshire (NH)

Total in sample frame: 3,585

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Developmental Disability Waiver	HCBS 1915 (C)	Approximately 3,265
Acquired Brain Disorder Waiver	HCBS 1915 (C)	320

New Jersey (NJ)

Total in sample frame: 18,047

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Supports Program	HCBS 1115	8,240
Community Care Program	HCBS 1115	9,807

Nevada (NV)

Total in sample frame: 2,289

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Nevada's Home and Community-Based Waiver for Individuals with Intellectual Disabilities and Related Conditions (Note: renewal in review by CMS with a 10/1/2018 start date is named HCBS Waiver for Individuals with Intellectual and Developmental Disabilities)	HCBS 1915(c)	2,289

New York (NY)

Total in sample frame: 37,000

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Certified Residential Supports (Individualized Residential Alternative (IRA), Intermediate Care Facility (ICF), Family Care)	ICF and HCBS 1915C	37,000

Ohio (OH)

Total in sample frame: 38,935

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Home and Community Based Services Waiver and Intermediate Care Facility.	HCBS 1915(c), ICF funding.	38,935

Oklahoma (OK)

Total in sample frame: 4,833

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Community Waiver-Residential	HCBS 1915(c)	2,193
Community Waiver-Non-Residential	HCBS 1915(c)	578
Homeward Bound	HCBS 1915(c)	590
In-Home Supports Waiver - Adult	HCBS 1915(c)	1,472

Oregon (OR)

Total in sample frame: 15,892

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
In Home--Direct Services Only	Waiver for case management and community first choice state plan for in home services	6,019
In-Home--Day Supports Only	Waiver for case management and Community First Choice State Plan for in home services and Day supports	953
In Home--Employment Services and Day Support	Waiver for case management and employment and Community First Choice State Plan for in home services and Day Supports	496
In Home-- Employment Services Only	Waiver for case management and employment and Community First Choice State Plan for in home services	1,764
24 Hour Residential Services for Adults	Waiver for case management and Community First Choice State Plan	2,938
Adult Foster Care	Waiver for case management and Community First Choice State Plan	2,928
Supported Living Services for Adults	Waiver for case management and Community First Choice State Plan	718
Stabilization and Crisis Unit	Waiver for case management and Community First Choice State Plan	76

Pennsylvania (PA)

Total in sample frame: 41,000

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Consolidated Waiver	HCBS 1915(c)	17,700
Person/Family-Directed Supports (P/FDS) Waiver	HCBS 1915(c)	12,160
Community Living Waiver	HCBS 1915(c)	1,600
Base Funding	State Plan	6,525
ICF/ID	ICF/ID	97

Rhode Island (RI)

Total in sample frame: 3,600

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
[No name given]	HCBS Waiver	3,600

South Carolina (SC)

Total in sample frame: 9,008

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Residential Service	HCBS Waiver (1915 (c)) and State Funded	5,110
Day and Employment Services	HCBS Waiver (1915 (c)) and State Funded	7,517
In-home Supports	HCBS Waiver (1915 (c)) and State Funded	6,070

South Dakota (SD)

Total in sample frame: 3,200

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
CHOICES Waiver	1915 (c)	2,679
Family Support 360	1915 I	291
Community Training Services (CTS)	State General Funds	230

Tennessee (TN)

Total in sample frame: 7,770

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Main Waiver	HCBS 1915(c)	4,807
CAC Waiver	HCBS 1915(c)	1,608
Self-Determination Waiver	HCBS 1915(c)	1,135
Public ICFs	ICF/ID	220

Texas (TX)

Total in sample frame: 37,622

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Home and Community-based Services (HCS)	1915(c) Waiver program	2,2513
Community Living Assistance and Support Services (CLASS)	1915(c) Waiver program	3,349
Texas Home Living (TxHML)	1915(c) Waiver program	4,254
Deaf Blind with Multiple Disabilities (DBMD)	1915(c) Waiver program	167
Private Intermediated Care Facilities for Individuals with an Intellectual Disability or Related Conditions (ICF/IID)	ICF/ID	4,615
State Supported Living Centers (SSLC)	ICF/ID	2,724

Utah (UT)

Total in sample frame: 5,000

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Community Supports Waiver/Acquired Brain injury Waiver	HCBS 1915 (c) Medicaid Waiver	4,800
Utah State Developmental Center (USDC)	State operated ICF/ID	200

Virginia (VA)

Total in sample frame: 11,993

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Building Independence Waiver	1915c	257
Family & Individual Supports Waiver	1915c	1,295
Community Living Waiver	1915c	10,441

Vermont (VT)

Total in sample frame: 3,100

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Waiver	HCBS – Global Commitment for Health (1115)	Total HCBS (age 18+): 3100 (FY 18)

Washington (WA)

Total in sample frame: 24682

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Basic Plus Wavier	HCBS 1915 (c)	8,697
Core Waiver	HCBS 1915 (c)	4,583
Community Protection Waiver	HCBS 1915 (c)	408
Individual and Family Services (IFS) Waiver	HCBS 1915 (c)	6,160
Community First Choice (CFC)	1915 (k)	16,723
ICF/ID	ICF/ID	768

Wisconsin (WI)

Total in sample frame: 29,760

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Family Care	HCBS 1915(b/c),	21,777
Include, Respect, I Self-Direct (IRIS)	HCBS 1915(c); enrollees may also self-direct personal care through a 1915(j)-state plan amendment	7,190
Partnership	1932(a) state plan programs including 1915(c) waiver services	793

Wyoming (WY)

Total in sample frame: 29,760

Program(s) included in sample	Funding Source	Total number of adults with ID/DD served in this program or waiver and receiving at least one support in addition to case management
Comprehensive Waiver	HCBS 1915(c)	1,658
Supports Waiver	HCBS 1915(c)	304

Excluded populations

State	Name of program/waiver excluded from sample	Funding Source (i.e., ICF/ID)	Description of the population served by the excluded program
CO	State-SLS	State General Fund	All adults age 18 and over, enrolled in State-SLS who receive at least one service other than case management.
DC	State Plan	Medicaid	People who receive service coordination and state plan services only
FL	State Plan	General Revenue	These are individuals who have applied for services but are on the waiting list
FL	Institutions including ICF's	ICF/DD	Anyone residing in Florida's state or privately-operated institution and ICF's. Non waiver people.
GA	ICF	ICF	People receiving services in an ICF
GA	Individuals who participated in a PCR the previous year.	NOW, COMP, SFS	Adults receiving waiver or state funded services.
HI	Long-term Adult Support and Resources (LASR)	State	Individuals 18 years or older who are not eligible for Medicaid I/DD Waiver service but are eligible for DDD services.
IN	[No name given]	ICF/ID	Individuals actively receiving ICF/ID services in a facility-based setting under 42 CFR 483, Part I.
NV	[No name given]	State General Fund, Medicaid	Children and Adults with ID/DD
NV	Individuals residing in institutional settings	Medicaid	Individuals residing in ICF/IDD, skilled nursing or other institutional settings
NV	Individuals receiving TCM and Family Support Services only	State General Fund, Medicaid	Children and Adults with ID/DD
NJ	Developmental Centers	ICF/ID	Individuals living in Developmental Centers.
NJ	Interim Program	State	The Interim Program funds services and supports for New Jersey adults with intellectual and developmental disabilities who have not yet transitioned into either the Supports Program or Community Care Program.
SC	ICF/IID	ICF/IID	Community-based ICF/IID Programs
VT	ICF/ID	ICF/ID	Adults with ID/DD needing significant medical supports (FY 18 – 6 people)
VT	PASRR – Specialized Services	Medicaid – Special Program (not HCBS) under VT's 1115 Global Commitment for Health Waiver	Adults with ID/DD living in nursing facilities needing additional support to address social and recreational needs as well as for their overall well-being (FY 18 – 27 people)
VA	Community ICF/ID	ICF/ID	Intermediate Care Facilities for Individuals with Intellectual Disability (ICF/ID). Provides comprehensive and individualized health care and rehabilitation services to individuals to promote their functional status and independence.
VA	Nursing Facility with Dx	SNF	People in this setting

State	Name of program/waiver excluded from sample	Funding Source (i.e., ICF/ID)	Description of the population served by the excluded program
VA	EDCD	1915c	VA Elderly or Disabled w/Consumer Direction (321.R3.) Provides adult day health care, personal assistance, respite care, consumer directed services facilitation, PERS, transition coordination (ages – no max age)
WY	Wyoming Life Resource Center	ICF/ID	People with ID